
June 28, 2022

The Honorable Charles Schumer
Majority Leader
United States Senate
S-221 The Capitol
Washington, DC 20510

The Honorable Mitch McConnell
Minority Leader
United States Senate
S-230 The Capitol
Washington, DC 20510

Dear Majority Leader Schumer and Minority Leader McConnell:

Western Governors would like to express their support for S. 4237, the Fire Ready Nation Act of 2022, which was favorably reported to the full Senate by the Committee on Commerce, Science, and Transportation on May 25. Western Governors' collective policy on wildfire management, data utilization, and the state-federal relationship is contained in the attached Western Governors Association (WGA) policy resolutions:

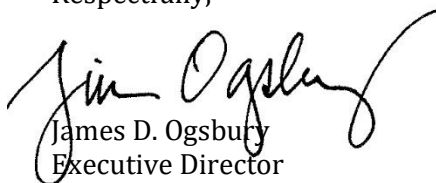
- WGA Policy Resolution 2021-03, National Forest and Rangeland Management;
- WGA Policy Resolution 2021-02, Utilizing State Data in Federal Decisionmaking; and
- WGA Policy Resolution 2021-01, Strengthening the State-Federal Relationship

Policy Resolution 2021-03 recognizes the need for research and programs to support interagency wildfire communication and response, and Policy Resolution 2021-02 discusses the importance of modernizing our nation's data infrastructure. The Fire Weather Services Program proposed in this legislation is designed to make progress toward achieving these goals. Policy Resolution 2020-01 calls for early, meaningful, substantive and ongoing consultation with states during the development of federal policies that affect states. S. 4237 requires the development of a state consultation process eighteen months following the bill's enactment; the Governors, however, assert that states should be consulted about the establishment of the Fire Weather Services Program from the outset.

Western Governors applaud this bipartisan effort to understand wildfire weather and expand our nation's capacity to respond to increasingly frequent and severe fire events. WGA looks forward to working with you as the Fire Ready Nation Act of 2022 moves through the legislative process.

Please contact me if you have any questions or require further information. In the meantime, with warm regards and best wishes, I am

Respectfully,



James D. Ogsbury
Executive Director

Attachments



Policy Resolution 2021-03

National Forest and Rangeland Management

A. **BACKGROUND**

1. The American West encompasses a huge landmass representing 2.4 million square miles, or over two-thirds of the entire country. Over 116 million people live in these states and they reside in large, densely populated cities, smaller cities and towns, and in rural areas.
2. Western communities share a unique relationship with natural resources. Communities in the West depend upon healthy forests and rangelands for jobs, recreation, and quality of life. Conversely, effective natural resource management is only possible if rural and resource-dependent communities are healthy, vibrant, and prosperous.
3. There are approximately 346 million acres of timber land in the West, of which 104 million acres are privately owned. In the United States, rangelands comprise about 31 percent of the total land area, approximately 761 million acres, which occur mostly in the West.
4. A high proportion of western lands are managed by the Federal government. The U.S. Department of Agriculture (USDA), through the U.S. Forest Service (USFS), manages over 168 million acres of forests, rangelands, and grasslands through the National Forest System (NFS). Approximately 11 percent of all western lands are in the NFS. Western states include more than 75 percent of our national forest and grassland system.
5. Department of the Interior (DOI) agencies, through the Bureau of Land Management (BLM), manage a substantial portion of the West's forests and rangelands. The BLM manages over 245 million acres in the West, of which 155 million acres are managed for livestock grazing.
6. Healthy forests and rangelands provide a number of important ecosystem services and are a vital component of western ecosystems. In addition to providing food, fuel and fiber, forests and rangelands clean the air, filter water supplies, control floods and erosion, sustain biodiversity and genetic resources, and provide opportunities for recreation, education, and cultural enrichment. Properly managed forests and rangelands can sequester greenhouse gases.
7. National forests and rangelands are economic drivers in western states. These public lands serve as critical economic engines and support local economic activities including grazing, wood products, mining, and recreation.
8. Public and private forest managers require forest products infrastructure to achieve community vitality and land management goals, including ecological restoration objectives and healthy and resilient forests.
9. Invasive species have damaged many of the forests and rangelands throughout the West and continue to be a threat to the West's working landscapes. Plant pests, such as the

emerald ash borer, can cause significant environmental, economic, and human health impacts to western forests by destroying urban, suburban, and wildland canopy covers and imperiling the species that depend upon them. Invasive annual grasses, such as cheatgrass, medusahead and ventenata, pose a major threat to western rangelands by increasing the risk of wildfire, outcompeting native grasses, and diminishing soil and water quality. Invasive species management is an essential component of effective forest, rangeland and wildfire management.

10. In recent decades, the number, severity and overall size of wildfires has increased across much of the U.S. In that time, wildfire seasons have become longer and more intense. In areas that once experienced a four-month fire season, fire seasons may now last six to eight months. Many longstanding practices of the western wildland fire service, including reliance on “1039 seasonal” and permanent subject to furlough staff, were developed in an era with shorter, less intense fire seasons.
11. The USFS operates five regional research stations that work on a range of biological, physical and social science fields to promote sustainable management of the nation’s forests and rangelands.
12. States have a particular interest in improving the active management of federal forest lands. State governments have trust authority over water, wildlife and forest resources, along with primary authority and expertise to protect community health and safety. Poorly managed forests can have significant and broad impacts on the landscapes and communities of the West, including negative impacts to air quality and public health, degradation of rivers and streams and associated water quality (including drinking water), reduced forage for domestic livestock, wildlife diseases, impaired habitats and water for wildlife and fish, and the loss of forest products and associated jobs.
13. Relative to decades past and other forest landowners, forest managers today operate under a constrained decision space as they work to address contemporary issues such as climate change, invasive pests and diseases, habitat diversity, fuel build-ups and fire risk, fish passage barriers, unmaintained roads, and legacy impacts. Adding to this challenge are concerns about the economic and social vitality of rural communities that experience effects from reduced timber supply and compromised forest health. Displaced workers, declines in school enrollment, aging demographics, property loss, business closures, and revenue effects due to wildfire and high unemployment are not uncommon to these communities.
14. Due to the current USFS funding model, many of the legacy roads and water crossing structures are not being maintained, leading to washouts, mass wasting, and sedimentation of salmonid spawning habitat. Many culverts and bridges installed over the past few decades do not meet current fish passage criteria and are past their design life and now failing. This lack of maintenance has resulted in a significant increase in the number of fish passage barriers, which is limiting fish access to important spawning and rearing habitat.
15. States are managers as well, and many western states own extensive public land holdings that require forest products infrastructure to achieve community vitality and land management goals, including ecological restoration objectives and healthy and resilient forests.

16. The USFS business model has historically been based on a combination of federal appropriations that were supplemented with revenue from resource sales and fees. Until the early 1990s, the USFS was a net contributor to the federal treasury. Over the past 20 years, timber sales have dramatically declined.
17. In addition, the last decade has seen several large, very expensive wildfires, which have increased USFS wildfire suppression costs from 13 percent of the agency's FY 1991 budget to nearly 50 percent over the last several fiscal years. Consequently, under the current agency budgeting framework, forest management, hazardous fuels reduction, habitat improvement, road maintenance, road abandonment, fish passage barrier removals, and outdoor recreation programs have been negatively affected across national forests and DOI lands.
18. An April 2015 USFS study, the "Collaborative Forest Landscape Restoration Program 5-Year Report, FY 2010-2014," found that the past century of wildfire suppression and legacy management practices have contributed to forests being overstocked and primed for larger and more intense blazes, and that changes in land use and increasing social pressures make it difficult for the agency to let fire play its natural role of clearing the forest understory in certain forest types. Active forest management has historically played a pivotal role in the growth and mortality cycle of forests to manage fuel loading, which in turn can reduce fire-fighting costs and improve habitat resilience. Today, the USFS estimates that roughly 90,625 square miles – an area larger than Utah – is at high or very high risk of severe wildfire and in need of treatment.
19. Insect infestation and disease have damaged many of the forests throughout the West. Severe drought conditions that are affecting western states, particularly California, have only exacerbated insect infestations and tree mortality. The effects go well beyond fire risk, and timber and fiber production are negatively affected, threatening the viability of the surviving forest product infrastructure. The significant decline in forest health has also created serious threats and challenges to watershed integrity, wildlife and fisheries habitats, recreational uses, businesses and tourism. All of these impacts present substantial challenges for forest-dependent communities across the West.
20. The dire forest conditions, unmet management needs, and the failure to provide lasting protections for some landscapes have brought diverse stakeholders together to find solutions. Community collaboration on forest health projects is robust in numerous places across the West, forging broad agreements among diverse stakeholders on projects that encompass fuels reduction, fiber production, habitat restoration, long-term protection for critical areas, and other community objectives. It is not uncommon to find mill owners, hunters and anglers, loggers, small business owners, conservationists, and local elected leaders working together around the table.
21. Collaborative planning and project implementation across National Forests and state and private forest lands on a larger scale allows for more diverse interests to address their particular needs for a landscape or a watershed. Taking a broad look at a landscape for planning purposes minimizes the challenges associated with managing lands for the benefit of a particular species or to address a specific need. Well-planned projects that are strategically placed across a landscape can result in a higher level of benefits than those that are more randomly or opportunistically placed. Processes associated with planning and implementing a project have become so time consuming and expensive for National Forests

in particular that a disincentive often exists for their managers to proceed with management actions that are needed to attain desired ecological, social, and economic objectives.

22. The full benefits of collaborative efforts have not been realized on the land. Working constructively with collaborators requires resources to be productive and the federal agencies often lack the necessary staff and funding.
23. Even when collaborative forest health projects enjoy broad support from diverse stakeholders and the agencies, administrative objections and litigation remain a too frequent outcome. One result is that community collaborative efforts become fatigued, and future opportunities are lost. Another outcome is that USFS restoration projects often go through exhaustive, time-consuming analysis, driving up costs and preventing the agency from scaling up management to meet the scope of the problem.
24. Today, the costs associated with planning and implementing a management project on National Forest lands are significantly more than those of the private sector. This cost, along with the time associated with drafting, analyzing, incorporating public involvement, and responding to appeals and/or litigation at the project level, lead many federal managers to focus their limited staff, funds and time on projects with the least likelihood to be challenged. This approach does not adequately address the larger socioeconomic and ecological needs of our National Forests and dependent communities.
25. Rangeland livestock operations were established decades ago, with many operations using forage on private, state and federal lands. These family-based operations are important contributors to the customs, cultures and rural economies of the West.
26. These operations also maintain open spaces and important habitat conditions (e.g., year-round water sources) benefiting wildlife and recreation. Water rights, which are granted by the states for livestock grazing, will not benefit other uses if the agricultural operation ceases to maintain the beneficial use.
27. Ranching operations that are responsibly managed provide valuable, active management of public lands including responsible grazing, maintenance of fences and other infrastructure, managing fuel loads, engaging in wildland fire monitoring and suppression, and cooperative management of noxious and invasive weeds.
28. Federal land management agencies' actions in recent years have resulted in reductions or removal of domestic livestock from federal lands.
29. The USFS and BLM have permanently closed, left vacant without reissuing a grazing permit, and converted into forage reserves or "grass banks" some grazing allotments in recent years. In many instances, the allotments are technically available based upon forage availability, but permits are not issued for reasons including unmaintained rangeland improvements and uncompleted National Environmental Policy Act (NEPA) documentation by USFS or BLM.
30. The USFS and BLM continue to receive pressure to close domestic sheep grazing allotments due to concerns about disease in bighorn sheep.

31. Restrictions and closures can have negative economic impacts on ranchers and ranch dependent communities. Ranchers who have used the same federal grazing allotments for generations may be abruptly forced to find new forage for their livestock when allotments are restricted or closed.
32. Restrictions and temporary closures, when implemented to mitigate natural events like drought, wildfires and wildlife impacts, should be factored into ongoing, regular reviews and renewals of individual livestock allotments, individual livestock operators' use of the allotments or the total amount of grazing allotments available for ranchers.
33. Inconsistent interpretation of operational policies across the West by local and regional federal land managers compounds difficulties in managing livestock grazing on public lands. For example, federal policy on acceptable types of supplemental feed, feed placement, and watering of livestock is interpreted without regard for localized rangeland conditions or the economics of local ranching operations. Failure to adapt policies to local conditions affects the ability of livestock grazing permittees to properly manage their livestock herds while achieving permit standards, goals, and objectives.
34. USDA launched a Shared Stewardship Strategy in 2018 to work collaboratively with states to set priorities and co-manage risk across broad landscapes. Through the strategy, USDA coordinates with states to set priorities and increase the scope and scale of critical forest treatments that support communities and improve forest conditions. To date, fourteen Western states have entered into individual Shared Stewardship agreements with USDA to identify landscape-scale priorities and build capacity to improve forest conditions.
35. In December 2018, the Western Governors' Association (WGA) and USDA signed a Memorandum of Understanding (MOU) to establish a framework to allow the USFS and WGA to work collaboratively to accomplish mutual goals, further common interests, and effectively respond to the increasing suite of challenges facing western landscapes. Under this agreement, WGA and USDA have pursued several collaborative campaigns to improve the management and restoration of western forests and rangelands.
36. In 1908, when Congress created the NFS, it also passed the National Forest Revenue Act, which directs the USFS to share 25 percent of gross revenues with local governments. Then in 1976, Congress passed "Payments in Lieu of Taxes" (PILT) legislation providing federal payments to local governments regardless of gross revenues that result from timber harvest and other forest management activities. After revenues from the sale of timber dropped substantially, Congress passed the Secure Rural Schools and Self Determination Act (SRS) in 2000, allowing counties to choose between a payment based on historical average and the 25 percent revenue share. SRS has expired several times, and PILT has been subject to funding uncertainty as well.
37. The 2014 Farm Bill provided the Forest Service with several new tools to accelerate forest restoration. Among them were Good Neighbor Authority (GNA), which allows USFS to enter into agreements with state forestry agencies to implement this critically important management work on national forests when USFS is unable to do the work alone. Since GNA was first authorized, 32 states have initiated more than 130 GNA projects. In the 2018 Farm Bill, GNA authorities were expanded to allow tribes and counties to enter into GNA agreements. The 2014 and 2018 Farm Bills also gave USFS and BLM Stewardship Contracting Authority (SCA), which allows communities, the private sector, and others to

enter into long-term contracts to meet land management objectives. SCA allows forest products to be exchanged for ecological restoration services, which may include thinning and brush removal.

38. In the Federal Land Assistance, Management, and Enhancement Act of 2009 (FLAME Act), Congress directed DOI and USDA to develop a national cohesive wildland fire management strategy to comprehensively address wildland fire management across all lands in the United States. The National Strategy explores four broad challenges: 1) managing vegetation and fuels; 2) protecting homes, communities, and other values at risk; 3) managing human-caused ignitions; and 4) effectively and efficiently responding to wildfire.
39. The Consolidated Appropriations Act of 2018 contained a new “fire borrowing fix,” a comprehensive remedy to budgeting for wildfire costs at DOI and USFS. The fix provides a new funding structure from Fiscal Year (FY) 2020 through FY 2027. Beginning in FY 2020, \$2.25 billion of new budget authority is available to USDA and the DOI. The budget authority increases by \$100 million each year, ending at \$2.95 billion in new budget authority by FY 2027. For the duration of the eight-year fix, the fire suppression account will be funded at the President’s FY 2015 Budget request - \$1.011 billion. If funding in the cap is used, the Secretary of Agriculture must submit a report to Congress documenting aspects of the fire season that led to the expenditures.
40. Several federal programs assist state and local fire and land managers in their efforts to manage western lands. Among these are:
 - State Fire Assistance (SFA): The SFA program assists states and local fire departments in responding to wildland fires and conducting management activities that mitigate fire risk on non-federal lands. The program also helps train and equip state first responders, who are the first to arrive at a wildfire (on any land ownership) 80 percent of the time. The program also assists communities in risk assessments and completing fire management planning projects.
 - Volunteer Fire Assistance (VFA) programs: The VFA program provides support to rural communities and is critical to ensuring adequate capacity to respond to wildfires, reducing the risk to communities, people, homes and property, and firefighters.
 - Hazard Mitigation Assistance Grants (HMAGs), administered through the Federal Emergency Management Agency, provide funding for eligible mitigation measures that reduce disaster losses. These grants include the Building Resilient Infrastructure and Communities (BRIC) program, which support states, local communities, tribes and territories as they undertake hazard mitigation projects, reducing the risks they face from disasters and natural hazards.

B. GOVERNORS’ POLICY STATEMENT

1. Western Governors support sound forest and rangeland management policies that maintain and promote ecologic, economic, and social balance and sustainability.
2. Western Governors support the creation of mechanisms to support and enhance cross-boundary collaborative work. To this end, Western Governors have established the

Working Lands Roundtable (WLR) as a platform for collaborative work on cross-jurisdictional, cross-boundary natural resource issues. The WLR allows Western Governors to draw on the expertise of a wide range of resource management experts, landowners, and conservation professionals to devise strategies that enhance the resiliency of western working landscapes and the communities they support.

3. Western Governors point to the WGA-USDA Shared Stewardship MOU as an example of an effective framework to establish shared state-federal priorities for forest and rangeland management, and encourage the development of similar MOUs with other Executive Branch agencies for other areas of natural resource management.
4. Effective forest and rangeland management is only possible through collaboration between federal, state, local, and tribal land management agencies. These agencies should strive to find new ways to collaborate on forest and rangeland management projects, as well as to explore ways to improve state-federal coordination on existing management projects. State funds can be directed to targeted federal projects to augment capacity, expedite project approvals and implementation, and add key state project priorities (including socioeconomic elements) to the federal program of work. State and local governments, municipalities, water utilities and corporate partners should be encouraged to collaborate on, and co-invest in, forest and rangeland restoration – including the support of collaborative groups – across ownership boundaries in key water supply source watersheds.
5. Federal, state, local, and tribal land managers should work to support effective collaboration on federal projects and all-lands initiatives. Federal agencies should look to local communities as a source of strength, knowledge, and support during the planning and implementation of forest and rangeland management projects, and should be encouraged to work with local communities while planning forest and rangeland management projects.
6. Local fire protective associations play a critical role in wildfire response and mitigation, and state and federal agencies should look for ways to further incorporate these groups into regional wildfire dispatch and coordination centers.
7. Western Governors support cost-share grants to local governments and local and non-governmental organizations (NGOs) to enable their participation in federal project planning and implementation. Federal agencies should facilitate the participation of local governments in federal decision making by dedicating staff to develop and provide technical assistance and enhance communications across local, tribal, state and federal partners. Congress and the Administration should support critically important programs that enable state and local wildfire protection, such as the SFA and VFA programs, as well as the Emergency Management Assistance Compact and the All Hazards National Mutual Aid System. Western communities are encouraged to take advantage of federal pre-fire mitigation programs, such as BRIC and HMAG. Federal agencies are encouraged to work with western states to ensure that communities' access to these grants is as efficient and streamlined as possible.
8. The USFS should continue to support states' efforts to operate within the Shared Stewardship Strategy, and federal agencies should continue to provide support to states as they implement projects undertaken as part of the Shared Stewardship Strategy and state-level Shared Stewardship agreements. Implementation of these projects could benefit from

enhanced governance and transparency around federal funding, as well as the use of block grants to states through USFS State and Private Forestry for project implementation. States are often the conveners of collaborative interagency forest and rangeland management efforts. Federal agencies should provide funding and support to states for cost incurred during this convening role.

9. It is important to retain citizens' rights to question governmental decisions through administrative and legal means. Western Governors believe there may be an opportunity to further streamline appeals and litigation associated with National Forest decision making in association with other changes designed to incentivize collaboration and provide more certainty as to outcomes.
10. Effective forest and rangeland management requires a network of forest and rangeland infrastructure to manage, maintain, and restore western forests and rangelands. Federal and state agencies should strive to find ways to support and expand critical forest and rangeland management infrastructure, including mills, biomass facilities, and roads. Also critical is the workforce, including the rural workforce, needed to support and operate forest and rangeland management infrastructure.
11. Western Governors support the expansion of stream restoration projects in forest and rangelands, including repair or removal of culverts and other barriers to fish passage. Federal and state agencies should strive to find ways to support and expand cost-effective means of supplying restoration projects, such as with large woody material from adjacent overstocked forests, which in turn supports the rural workforce needed to implement large-scale watershed and stream restoration efforts.
12. A thriving wood-based product market is essential to support critical forest management infrastructure. Western Governors support the expansion of wood-based product markets, and encourage USFS to develop and help fund new technologies and wood-based markets for some non-traditional products. USDA's Forest Products Laboratory is a hub for research and innovation. Supporting innovative technologies, such as cross-laminated timber and biofuels to replace diesel or jet fuel, would help bolster woody biomass utilization. Western Governors encourage the application of their knowledge and experience in a practical way in the West so that some of the federally funded infrastructure that develops from such efforts could first be demonstrated on private lands. Federal land managers should work to ensure that wood product producers have increased certainty of supply, as well as a broader suite of outlets, in addition to traditional sawmills and existing biomass facilities. Governors should work with USDA to explore mechanisms to expand low-interest loans in the forest products and woody biomass sectors to help develop rural businesses around sustainable industry. States can also work with USFS and other federal land managers to establish more long-term stewardship agreements to ensure a long-term feedstock supply.
13. Authorities granted to the USFS in the 2018 Farm Bill, including GNA and SCA, are powerful tools to boost forest and rangeland management, promote collaboration, and limit the effects of administrative objections and litigation. Western Governors encourage federal agencies to fully implement the tools provided in the 2018 Farm Bill and encourage all state and federal land managers to continue to expand the use of these tools in other areas of land management. Federal agencies should expand the use of GNA agreements and other 2018 Farm Bill tools to achieve all-lands restoration objectives across federal, state, local government and privately-owned lands. Federal agencies should use GNA authority and

program income to support additional stewardship objectives such as invasive species management and rangeland conifer encroachment. Where programmatic agreements are already in place, federal agencies should use GNA agreements to address priority restoration needs.

14. Western Governors believe clear, coordinated and consistent application of federal vegetation management practices is integral to maintaining the health of western forests, preventing dangerous and damaging wildfires, and maintaining grid reliability. The Governors support effective and efficient cross-jurisdictional coordination that enables utilities to undertake necessary vegetation management actions on federal transmission rights-of-way. Effective implementation by BLM and USFS of the FY 2018 Consolidated Appropriations Act's sections related to vegetation management, in consultation with states and utilities, would make progress towards improving vegetation management in the West. Special attention should be paid to the law's direction to USFS to pair the Wildfire Hazard Potential index and map with spatial data for use at the community level, as well as its language encouraging USFS and BLM to develop training programs on vegetation management decisions relating to electrical transmission and distribution systems. Electrical utilities and state and federal land managers should examine ways to further utilize GNA and SCA to improve vegetation management in the West.
15. Western Governors believe it is possible to reform the USFS business model in a manner that reduces project planning costs, sources funds from non-federal partners and recognizes that the agency no longer generates large revenues from commodity programs. Federal agencies can accomplish this by:
 - Striving to identify business practice barriers to cross-boundary projects;
 - Developing training on state and federal contracting procedures and administration for all partners to improve implementation of cross-boundary projects;
 - Utilize Service First authorities, which allow multiple agencies to partner to share resources, procurement procedures and other authorities;
 - Streamlining and consolidating agency processes with partners; and
 - Establishing multi-agency pilot projects, which can suggest models for subsequent formal agreements.
16. Western Governors support efforts to improve the effectiveness of NEPA in a forest and rangeland management context. Federal agencies should engage with Governors and states in early, meaningful, and substantive consultation throughout the NEPA process. Western Governors support allowing federal agencies to analyze only the action and no-action alternatives when a project is collaboratively developed, unless a third alternative is proposed during scoping and meets the purpose and need of the project. Western Governors also support rewarding successful implementation of collaborative projects through funding, retained-receipt authority, or other capacity to pursue subsequent projects.
17. State and federal agencies should look to expand the use of prescribed fire and should look for ways to reduce the statutory and regulatory barriers to its expanded use on western

forests and rangelands. State and federal air quality specialists should work together to identify reforms that reduce barriers to prescribed fire and reduce overall health impacts from smoke, improve interagency use of smoke management best practices, and examine liability protection for fire managers and compensation for private property owners negatively affected by escaped prescribed burns. Land managers across the West should strive to increase workforce capacity for prescribed fire activities, as well as science-based vegetation management activities, oversight and planning. State and federal agencies should work to identify ways to increase the cultural acceptance of the use of prescribed fire in the West. Traditional Native American cultural burning and tribal practices are an important part of forest management in the West and may be incorporated more effectively into federal and state planning management processes.

18. Western Governors support efforts to improve a broad range of pre-fire mitigation practices. State and federal agencies should work to develop tools to support mechanical hazardous fuels reduction, especially the removal of underbrush and understory, which are economically unviable in many instances. Expanding the use of spatially complex restoration treatment would help create more resilient forest through greater forest structural heterogeneity. Thinning and spatially complex treatments both address wildfire and post-fire erosion risks, but spatially complex restoration also provides habitat and biodiversity benefits that thinning does not. Invasive species, including invasive annual grasses, can be one of the greatest drivers of wildfire on western rangelands. Land managers should work to further integrate invasive species data and management practices into hazard fuels management and planning.
19. Efforts should be made by state, federal, local, and tribal agencies to modernize the wildland fire service and adapt it for the West's increasingly long and intense fire seasons. Federal agencies should examine their reliance on 1039 seasonal staff, shift a higher percentage of wildland fire staff from seasonal to permanent and permanent subject to furlough positions, evaluate policies related to the use of Administratively Determined emergency firefighters, and authorize hazard pay for federal firefighters performing prescribed fire operations. Incident command teams are valuable resources in the region, and efforts should be made to ensure that these resources have adequate access to training and preparedness activities and are, as necessary, utilized for prescribed fires in a manner similar to suppression fires.
20. Western Governors support improvements to interagency communication, fire response capability, and coordination, including the sharing of firefighting resources. Fire management activities should support fire prevention, rapid response capabilities, full suppression strategies and management of wildfire for resource benefits. Agencies and stakeholders should continue to seek opportunities, including revisions to forest plans, to enhance safety and reduce costs in suppression decisions while protecting communities. Incentives should be created for local governments to take voluntary actions to support the creation and expansion of fire-adapted and smoke-ready communities and resilience, including the promotion of education, fuels management projects and improved integration of community wildfire protection plans with land use decisions when compatible with local goals. Additional analyses should be provided to help communities evaluate the full costs of suppression associated with development in the wildland urban interface.
21. Western Governors support increased attention to the challenges posed in post-wildfire landscapes and wildfire-affected communities. Restoration of forests and rangelands is an overlooked and underfunded aspect of land management activity. Cross-boundary and

cross-jurisdictional collaboration is crucial to properly managing restoration efforts. Western Governors also encourage better awareness of post-wildfire restoration funding opportunities available to wildfire-affected communities and more sophisticated coordination of restoration activities to achieve restoration objectives.

22. The West's forests and rangelands are changing: historical fire suppression patterns have altered the composition of western forests, invasive species have moved across western rangelands, and changing patterns of industry, recreation, and land-ownership have shifted the way Westerners interact with and manage forests and rangelands. Federal agencies, including the USFS and BLM, must work to build agency cultures that can adapt quickly and responsively to these changes. Climate change can accelerate many of these changes by increasing the frequency and severity of fire, altering hydrologic patterns, and expanding the potential range of invasive species, and can pose a threat to the ecosystem services derived from forests and rangelands, such as watersheds, recreation, ranching, and agriculture. Federal agencies must be prepared to adapt to changing patterns in revenue generation, increased need for restoration activities, and a changing workforce. Increasing the pace and scale of restoration work like prescribed fire, fuels reduction, and active management can help reduce the effects of climate change. Western Governors support the creation and expansion of assistance to landowners for carbon sequestration and conservation activities on private forests and rangelands.
23. Federal agencies need to ensure adequate monitoring, assessment, and analysis of federal forests and rangelands, including data on wildlife, water, soil, and forage. Federal agencies should strive to further improve the collection of socioeconomic data related to forest and rangeland management decisions, and to further incorporate that data into management decisions. The Administration should provide federal funding to develop detailed state rangeland action plans addressing invasive species, wildlife and fish habitat, and water quality and quantity as a complement to State Forest Plans. These rangeland plans should include resource analyses of soil health, water, plants, animals and productive capacities to inform management decision-making. The Administration should target funding from USFS, BLM, the Natural Resources Conservation Service and state sources to address cross-boundary management goals (and support monitoring and assessment frameworks) in priority areas. Projects using this targeted funding should be consistent with State Forest Action Plans, wildlife action plans, community wildfire protection plans, and projects in other priority areas determined by federal, state, local and tribal partners based on the best available science.
24. Western Governors urge Congress and the Administration to support the research needed for responsible and effective forest and rangeland management in the West. Investments in widespread spatial imaging and data analytics, LiDAR or hyperspectral imaging, would improve predictive analytics and planning tools for fire and forest health. Federal agencies conducting research should also work to ensure that public research projects are focused on research that supports on the ground management needs. Western Governors urge Congress and the Administration to support USFS Research Stations, which play a key role in forest and rangeland management in the West.
25. The outbreak of the SARS-CoV-2 virus in 2020 posed a significant challenge to those working to manage the West's forests and rangelands, particularly wildland firefighters. State, federal, and local wildland fire managers should be encouraged to learn from the pandemic response and, as appropriate, implement effective new management principles

developed during that pandemic into permanent practice. Efforts should be made to ensure that emergency response personnel are prepared for similar situations in the future, as well as other potential risks.

26. Western Governors support the continued responsible use of federal lands for grazing and increased funding for grazing management, monitoring, and permit condition compliance.
27. We support sound, science-based management decisions for federal lands – including adaptive management – and believe these decisions should be based upon flexible policies that take into account local ecological conditions and state planning decisions for fish and wildlife and other human needs.
28. Federal and state land managers should identify opportunities to improve flexibility and integration of grazing management and targeted grazing as tools to achieve restoration and land management goals, including fish and wildlife habitat improvements, drought and wildfire mitigation and resilience, water quality and watershed health, soil health management, promotion of perennial plant health, and control of invasive species such as cheatgrass. They should also promote grazing allotment flexibility on federal lands, within USFS and BLM permitting systems and across ownership boundaries, to respond to changing rangeland conditions and environmental considerations.
29. Livestock grazing on federal lands is compatible with recreation and wildlife management and fulfills the multiple use and sustained yield mission of both the USFS and BLM. Policies, analyses, or planning decisions that lead to closing allotments must be based on science, documented threats and causal factors consistent with state policies and programs as well as federal multiple use missions.
30. Decisions to reduce or suspend grazing should only be made assisted by an appropriate quantitative assessment of long- and short-term trends in rangeland conditions on specific allotments, risk of spread of invasive weeds, diseases to wildlife, or other documented fish or wildlife impacts. If, after consultation with the state, the federal agency decides to reduce, suspend, close, or modify an allotment due to documented harmful wildlife impacts, an alternative allotment, properly authorized pursuant to NEPA, if a suitable alternative allotment exists, must be made available to the displaced operator prior to adjustment of the original allotment. In order to fully implement this policy, the BLM and USFS must have alternative allotments properly authorized under relevant planning documents. This ensures that suspensions or modification of grazing permits will not result in a net loss of Animal Unit Months and that appropriate alternative allotments are available.
31. Grazing permit renewal decisions should be assisted by current site-specific, quantitative data. Federal agencies should engage in meaningful consultation, coordination and cooperation with livestock grazing permittees, state and local governments, tribes, and stakeholders, prior to initiation and throughout the entire permit renewal process.
32. Federal land management agencies' decisions to reduce or close allotments should only be based upon completion of a full and complete administrative review and analysis, including a complete review under the provisions of NEPA. The decision process must include opportunities for states, livestock grazing permittees and other stakeholders to provide input. Allotments should not be closed due to a pending NEPA review without allowing

authorized use of the allotment pending a final decision, or the use of an equivalent amount of forage at reasonably equivalent cost to compliant operators.

33. Federal rangeland specialists should have an understanding of the economics and management of ranching operations dependent upon federal lands, and should receive the necessary training to comprehensively monitor rangelands, conduct objective analysis, and write sound environmental documents.
34. Clear directives and accountability throughout all levels of the USFS and BLM should be required so that interpretation and implementation is practical and predictable from office to office and individual to individual, and informed by an understanding of localized rangeland and ecological conditions, and economic health of ranch operations.
35. Federal land management agencies must give interested state agencies an opportunity to fully participate in or provide input to grazing permit actions – prior to their initiation – including: generalized review of livestock operations on federal lands; any assessment of grazing conditions as part of a federal planning process; review of past compliance of the operator with grazing allotment conditions; and individual allotment reviews. Grazing permit decisions should not be finalized until after this opportunity for meaningful consultation with the states, local governments, and the affected permittees.
36. Governors possess primary decision-making authority for management of state resources. States also have knowledge and experience that are necessary for the development of effective plans. Accordingly, it is essential that Governors have a substantive role in federal agencies' planning processes and an opportunity to review new, revised, or amended federal land management plans for consistency with existing state plans. Federal agencies should:
 - Provide Governors with sufficient time for a full and complete state review, especially when federal plans affect multiple planning areas or resources.
 - Align the review of multiple plans affecting the same resource, especially for threatened or endangered species that have vast western ranges.
 - Afford Governors the discretion to determine which state plans should be reviewed against federal plans for consistency, including State Wildlife Action Plans, conservation district plans, county plans, and multi-state agreements.
 - Maintain Governors' right to appeal any rejection of recommendations resulting from a Governor's consistency review.
 - Create a database of federal forest and rangeland management projects, available to states and other collaborators, that includes planned, current, and past projects.
37. The federal government should honor its historic agreements with states and counties in the West to compensate them for state and local impacts associated with federal land use and federally owned, nontaxable lands within their borders, such as the PILT and SRS programs.

38. The federal government should be a responsible landowner and neighbor and should work diligently to improve the health of federal lands in the West. Federal actions or failures to act on federal lands affect adjacent state and privately-owned lands, as well as state-managed natural resources.
39. Congress and federal agencies should provide opportunities for expanded cooperation, particularly where states are working to help their federal partners to improve management of federal lands through the contribution of state expertise and resources.
40. Western Governors support efforts to examine rural communities' relationships with natural resources, such as forests, rangelands, croplands, wildlife, and source water, as well as the important role that rural communities play in the management of these resources. Policy makers in the West should be encouraged to identify barriers to growth and sustainability in western communities, including a lack of restoration infrastructure, disaster mitigation challenges, dependence upon a single natural resource, and issues related to local capacity, expertise, and funding, and identify best practices to help rural communities overcome these barriers.

C. GOVERNORS' MANAGEMENT DIRECTIVE

1. The Governors direct WGA staff to work with congressional committees of jurisdiction, the Executive Branch, and other entities, where appropriate, to achieve the objectives of this resolution.
2. Furthermore, the Governors direct WGA staff to consult with the Staff Advisory Council regarding its efforts to realize the objectives of this resolution and to keep the Governors apprised of its progress in this regard.

This resolution will expire in December 2023. Western Governors enact new policy resolutions and amend existing resolutions on a semiannual basis. Please consult <http://www.westgov.org/resolutions> for the most current copy of a resolution and a list of all current WGA policy resolutions.



Policy Resolution 2021-02

Utilizing State Data in Federal Decision Making

A. **BACKGROUND**

1. State and federal agencies increasingly rely on quantitative and qualitative data to inform evidence-based policymaking, improve service delivery, more effectively manage resources, improve regulatory enforcement, and more accurately measure program performance and effectiveness.
2. States serve a critical function as a primary sources and stewards of economic, social, geospatial, scientific, technical, and other datasets that support a wide array of federal agencies and programs. State agencies often have the best available science, expertise, and other institutional data resources for purposes of federal decision-making processes.
3. States are both sovereignties and the primary administrators of numerous federal administrative and regulatory programs under a system of cooperative federalism, which distinguishes them from other non-federal sources of data.
4. State agencies also rely on timely access to current and accurate federal datasets to inform their own decision-making processes, develop more effective policy, improve service delivery and public communication, and to administer federally-delegated administrative programs.
5. In addition to federal laws and regulations, state agencies operate under their own privacy and data stewardship laws, regulations, and policies that protect personal and confidential information from public disclosure or other inappropriate use or disclosure. These protections help establish public trust that ultimately improves government effectiveness.
6. Public access to datasets that serve as the basis for federal agency actions promotes transparency and accountability in the decision-making process. Nevertheless, blanket requirements to make publicly available all data considered by federal agencies – particularly if this data consists of raw data provided by states – may infringe upon states' statutory imperatives to protect personally identifiable and otherwise sensitive information. It may also infringe upon fundamental privacy and data stewardship principles like purpose specification and data minimization. Even where there is no state legal barrier to disclosure of raw data, state agencies may maintain significant reservations about the public release of raw data.
7. The 2019 Federal Data Strategy directs federal agencies to “[e]ffectively, routinely, transparently, and appropriately use data in policy, planning, and operations to guide decision-making [and] share the data and analyses behind those decisions.” Additionally, agencies are directed to “[f]acilitate data sharing between state, local, and tribal governments and the Federal Government, where relevant and appropriate and with

proper protections, particularly for programs that are federally funded and locally administered, to enable richer analyses for more informed decision-making.”

8. Improvements in intergovernmental data sharing, stewardship, integration, protection, and utilization will require robust federal investments in a modern data infrastructure, technology, and training.

B. GOVERNORS' POLICY STATEMENT

1. State data serves a critical role in the successful implementation of a variety of federal programs and in federal agencies' fulfillment of their statutory missions and directives.
2. Subject to state laws and other requirements for data protection and transparency, federal agencies should be required to incorporate state and local data and expertise into their analysis and decision-making processes. This data should include geospatial, scientific, technical, economic, social, and other information relevant to issues the agency is trying to address.
3. Congress and the Executive Branch should look to states and state agencies as partners – rather than ordinary stakeholders – in the collection, stewardship, analysis, and use of data to inform federal decision-making processes. Federal agencies should recognize the existence and limitations of state privacy and data stewardship laws, regulations, and policies and work with states to develop strategies that encourage effective state-federal data sharing while appropriately protecting data according to state law.
4. State data – particularly non-aggregated raw data – is subject to differing levels of protection under various state laws, regulations, and policies. Western Governors encourage Congress and federal agencies to recognize the limitations on complete transparency of state data in federal decision making and to work with states to identify ways in which protected data can inform federal decision-making processes without conflicting with applicable state laws, regulations, or policies.
5. Federal agencies should consult with states – on a government-to-government basis – in the development and implementation of policies, programs, and strategies to more effectively and consistently incorporate state data into federal decision making, including implementation of applicable federal statutes and programs, as well as the Federal Data Strategy and development of annual Federal Data Strategy Action Plans.
6. Federal agencies should also consult with states to ensure that state and local partners have access to timely and reliable federal datasets for purposes of informing state and local decision-making processes.
7. Congress and the Executive Branch should support, and work with state toward, the modernization of our nation's data infrastructure and intergovernmental data-sharing and analysis capabilities. Data infrastructure should be based on best practices for data stewardship and must properly protect personal and confidential information in accordance with state and federal law. Federal agencies should consult with states to develop guidelines for intergovernmental data-sharing agreements and other protocols that include commitments to fundamental privacy and data stewardship principles like purpose specification and data minimization.

8. Federal agencies should work with state and local partners to develop uniform data standards, where appropriate, to maximize data quality and facilitate intergovernmental data use, access, sharing, and interoperability.
9. Western Governors support congressional efforts to broaden statutory exemptions under the Freedom of Information Act to protect personally identifiable and sensitive state-shared data from disclosure.
10. Western Governors urge the Executive Branch to develop uniform privacy and data stewardship policies based on best practices and uniform interpretations of federal privacy and data stewardship laws, regulations, policies, and other directives applicable to data received from states, as well as other non-federal sources.

C. GOVERNORS' MANAGEMENT DIRECTIVE

1. The Governors direct WGA staff to work with congressional committees of jurisdiction, the Executive Branch, and other entities, where appropriate, to achieve the objectives of this resolution.
2. Furthermore, the Governors direct WGA staff to consult with the Staff Advisory Council regarding its efforts to realize the objectives of this resolution and to keep the Governors apprised of its progress in this regard.

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Policy Resolution 2021-01

Strengthening the State-Federal Relationship

A. **BACKGROUND**

1. Western Governors are proud of their unique role in governing and serving the citizens of this great nation. As the chief elected officials of sovereign states, they bear enormous responsibility and have tremendous opportunity. Moreover, the faithful discharge of their obligations is central to the success of the Great American Experiment.
2. It was the states that confederated to form a more perfect union by creating a national government with specific responsibilities for common interests. In this union, the states retained their sovereignty and much of their authority.¹
3. Under the American version of federalism, the powers of the federal government are narrow, enumerated and defined. The powers of the states, on the other hand, are vast and indefinite and encompass all powers of governance not specifically bestowed to the federal government by the U.S. Constitution. This principle is memorialized in the Tenth Amendment, which states: “The powers not delegated to the United States by the Constitution, nor prohibited by it to the States, are reserved to the States respectively, or to the people.”
4. This reservation of power to the states respects the differences between regions and peoples, recognizes a right to self-determination at a local level, and provides for flexible, tailored solutions to policy challenges. It also requires the federal government to engage with states – our nation’s dynamic laboratories of democracy – on a government-to-government basis befitting their co-sovereign status.
5. In addition to states’ reserved sovereign authorities, Congress has recognized state authority in federal statute by: (1) directing the federal government to defer to state authority, including such authority over land and water use, education, domestic relations, criminal law, property law, local government, taxation, and fish and wildlife; and (2) delegating federal authority to states, including the regulation of water quality, air quality, and solid and hazardous waste.
6. [Executive Order 13132](#), Federalism, reinforces these constitutional, statutory, and judicial principles and directs federal agencies to have an accountable process to ensure meaningful and timely input from state officials in developing policies with federalism implications.
7. The relationship between state and federal authority is complex and multi-dimensional. There are various contexts in which these authorities manifest and intersect:

¹ The U.S. Supreme Court has confirmed that, “[d]ual sovereignty is a defining feature of our Nation’s constitutional blueprint” and “States entered the Union with their sovereignty intact.” *See, e.g., Sossamon v. Texas*, 563 U.S. 277, 283 (2011).

- a) **State Primacy** – All powers not specifically delegated to the federal government in the Constitution. In the absence of Constitutional delegation of authority to the federal government, state authority should be presumed sovereign. *Examples: groundwater, wildlife management (outside of the Endangered Species Act), natural resources management, electric transmission siting.*
 - b) **Shared State-Federal Authority** – Fact patterns in which federal authority and state primacy intersect. *Examples: wild horses and burros on federal lands, interstate water compacts.*
 - c) **Federal Authority Delegated to States** – Federal authority that Congress has delegated to states by statute. Many such statutes require federal agencies to set federal standards (and ensure those standards are met) but authorize states to implement those standards. *Examples: water and air quality, solid and hazardous waste.*
 - d) **Federal Statutory or Other Obligations to States** – Where the federal government has a statutory, historical, or moral obligation to states. *Examples: Payments in Lieu of Taxes; Secure Rural Schools Act; shared mineral royalties; agreements to clean up radioactive waste that was generated by federal nuclear weapons production.*
 - e) **Exclusive Federal Authority** – Powers enumerated in the Constitution as exclusive powers of the federal government. In areas of exclusive federal authority, state law can be preempted if Congress clearly and unambiguously articulates an intent to occupy a given field or to the extent it conflicts with state law. *Examples: national defense, production of money.*
8. In contravention of the Founders’ design, the balance of power has shifted toward the federal government and away from the states. Increasingly prescriptive regulations tie the hands of states and local governments, dampen innovation, and impair on-the-ground problem-solving. Failures of the federal government to consult with states reflect insufficient appreciation for local knowledge, preferences, and competencies. In many cases, these federal actions encroach on state legal prerogatives, neglect state expertise, and/or infringe on state authority.
 9. The federal government often requires states to execute policy initiatives without providing the funding necessary for their implementation. State governments cannot function as full partners if the federal government requires them to devote their limited resources to compliance with unfunded federal mandates.
 10. State authority and autonomy is also eroded when prescribed federal policies become effectively mandatory through the contingency of federal funding streams that states depend on to deliver critical services.
 11. Too often, federal agencies: solicit input from states after a decision is already made or a public process is started; ask states to provide feedback on a proposed action without providing details or documents regarding what the agency is proposing; or do not respond to state input or incorporate feedback from states into their decisions. This does not afford states with the respect and communication required by law, and states currently have no

recourse for an agency's failure to consult except for litigation on the merits of a federal decision.

12. Congress and Executive Order 13132 currently require federal agencies to document the effects of their actions on states in certain circumstances. In practice, federal agencies rarely prepare these prescribed federalism assessments or statements. Even when federal agencies prepare such documents, they are not ordinarily informed by input from affected states. In addition, these documentation requirements only apply at the end of the rulemaking process and cannot substitute for early and meaningful consultation with states.
13. Federal agencies have suggested to states that there are legal or other barriers to state consultation, such as: federal agency policies restricting ex parte communications; concerns about the applicability of Federal Advisory Committee Act (FACA) procedures to meetings between state and federal officials; and issues with sharing information that would otherwise be exempt from disclosure under the Freedom of Information Act (FOIA).
14. Federal agencies do not adequately incorporate state data and expertise into their decisions. This can result in duplication, inefficiency, and federal decisions that do not reflect on-the-ground conditions. Consideration and incorporation of state, tribal, and local data and analysis will result in federal actions that are better-informed, more effectively coordinated among all levels of government, and tailored to the communities they affect.
15. Many of these issues stem from a profound misunderstanding throughout the federal government regarding the role and legal status of states. Over the past several years, Western Governors have worked to improve the federal government's understanding of state sovereignty, authority, and state-federal consultation; meaningful structural change, however, has yet to occur.

B. GOVERNORS' POLICY STATEMENT

1. A good faith partnership between states and the federal government will result in more efficient, economic, effective, and durable policy, benefiting the Governors' and the federal government's shared constituents and resulting in a nation that is stronger, more resilient, and more united.
2. Improving state-federal communication and coordination is a goal that transcends party lines, and it is among the Governors' highest priorities. The Governors urge Congress and the Executive Branch to make fundamental changes to realign and improve the state-federal paradigm.

State Sovereignty and Authority

3. States are co-sovereigns with the federal government pursuant to the Tenth Amendment of the U.S. Constitution and other federal law. Congress and federal agencies must recognize state sovereignty and must not conflate states with other entities or units of government. States should not be treated as stakeholders or members of the public.
4. State authority is presumed sovereign in the absence of Constitutional delegation of authority to the federal government.

- a) Federal legislative and regulatory actions should be limited to issues of national significance or scope, pursuant to federal constitutional authority. Preemption of state laws should be limited to instances of necessity.
 - b) Where Congress preempts state law (acting pursuant to federal constitutional authority), federal law should accommodate state laws, regulations, and policies before its enactment and permit states that have developed alternate standards to continue to enforce and adhere to them.
 - c) Federal agencies should construe federal law to preempt state law only when a statute contains an express preemption provision or there is some other compelling evidence that Congress intended to preempt state law.
5. Congress and federal agencies should respect the authority of states to determine the allocation of state administrative and financial responsibilities in accordance with state constitutions and statutes. It should further:
- a) Ensure that federal government monitoring is outcome-oriented;
 - b) Minimize federal reporting requirements; and
 - c) Refrain from dictating state or local government organization.
6. When a state is meeting the requirements of a delegated program, the role of a federal agency should be limited to the provision of funding, technical assistance and research support. States should have the maximum discretion to develop implementation and enforcement approaches within their jurisdiction without federal intervention. Federal agencies should recognize and credit states' proactive actions.
7. Congress and federal agencies should avoid imposing unfunded federal mandates on states. In addition:
- a) Federal assistance funds, including funds that will be passed through to local governments, should flow through states according to state laws and procedures;
 - b) States should have the flexibility to transfer a limited amount of funds from one grant program to another and to coordinate the administration of related grants;
 - c) Federal funds should provide maximum state flexibility without specific set-asides; and
 - d) Governors should have the authority to require coordination among state executive branch agencies, or between levels or units of government, as a condition of the allocation or pass-through of funds.
8. Congress and the Executive Branch should create or re-establish entities to discuss and act on federalism issues, such as the Speaker's Task Force on Intergovernmental Affairs, the U.S. Advisory Committee on Intergovernmental Relations, the Subcommittee on Intergovernmental Affairs, or a federalism office within the White House. These entities should have the ability and resources to make recommendations to improve the state-

federal relationship and include states in their membership or actively involve states in their discussions.

State-Federal Consultation

9. Federal agencies must engage in consultation with states on a government-to-government basis in accordance with states' legal status. Congress should clarify and promote the need for state-federal consultation.
10. Improving state-federal consultation will result in more effective, efficient, and long-lasting federal policy for the following reasons:
 - a) Governors have specialized knowledge of their states' environments, resources, laws, cultures, and economies that is essential to informed federal decision-making;
 - b) Federal agencies can reduce duplication through the use and incorporation of state expertise, data and documentation;
 - c) Authentic communication and information exchange will help federal agencies determine whether an issue is best addressed at the federal level; and
 - d) Through meaningful dialogues with affected states, federal agencies can also avoid unintended consequences and address or resolve state concerns.
11. Each Executive department and agency should have a clear and accountable process to provide each state – through its Governor or their designees – with early, meaningful, substantive, and ongoing consultation in the development of federal policies that affect states. The extent of the consultation process should be determined by engaging with affected states. At a minimum, this process must involve:
 - a) Conducting consultation through federal representatives who can speak or act on behalf of an agency;
 - b) Inviting states to provide input outside of a public process and before proposals are finalized;
 - c) Enabling states to engage with federal agencies on an ongoing basis to seek refinements to proposed federal actions prior to finalization;
 - d) Providing robust information and documents (including non-final, non-public, draft, and supporting documents) about potential federal actions, including proposed rules, to Governors or their designees;
 - e) Addressing or resolving, where possible, state issues, concerns, or other input unless precluded by law;
 - f) Documenting how state concerns were resolved or why they were unable to be resolved in final decisions; and

- g) Making reasonable efforts to achieve consistency and avoid conflicts between federal and state objectives, plans, policies, and programs.
12. Governors affirm their reciprocal role in advancing a clear, predictable, timely, and accountable consultation process. Governors or their designees must continue to provide clear expectations for the appropriate scope and scale of consultation and must work with federal agencies to make consultation processes as efficient as practicable. As chief executives, Governors must also ensure the views of the state are clearly and consistently conveyed throughout the consultation process by prioritizing significant issues and resolving competing viewpoints across state government.
13. In many cases, federal agencies are required – whether by statute, executive order, regulation, policy, or other mandate – to consult, cooperate, and coordinate with states before taking action. However, due to states’ unique legal status, the need for federal-state engagement is not limited to express directives and should extend to any federal actions that may have direct effects on states, on the relationship between the federal government and states, or on the distribution of power or responsibilities among the various levels of government. Federal agencies should consult with states regarding what types of agency actions typically affect states and the extent of consultation required for these types of actions.
- a) These actions include the implementation of federal statutes and the development, prioritization, and implementation of agency policies, rules, programs, reviews (e.g., Governor’s Consistency Reviews), plans (e.g., resource management plans), budget proposals and processes, strategic planning efforts (e.g., reorganization), and federal litigation or adjudication that affects states.
 - b) When a federal agency proposes to enter into any agreement or settlement that affects states, the agency should provide all affected Governors or their designees with notice of the proposal and consult with, and seek the concurrence of, Governors or their designees who respond to the notice.
14. Congress and the Executive Branch should require federal agencies to promulgate regulations in consultation with Governors, setting forth their procedures to ensure meaningful, substantive consultation with states on federal actions that affect states. This direction should also clarify that, for rulemakings affecting states:
- a) An agency’s satisfaction of rulemaking requirements under the Administrative Procedure Act (including the solicitation of public comments) does not satisfy an agency’s obligation to consult with states; and
 - b) Consultation should occur before publication of a notice of proposed rulemaking or before an advanced notice of proposed rulemaking is submitted to the Office of Management and Budget (OMB).
15. Congress and the Executive Branch should consider the following additional accountability measures:

- a) Requiring the designation of a federalism official with the responsibility for implementing state-federal consultation and publish this official's name, title, and contact information on the agency's website;
 - b) Requiring OMB to regularly submit a report to Congress and Governors on state-federal consultation and implementation of agency consultation rules;
 - c) Requiring federal agencies to provide a summary of their efforts to consult with states, including a discussion of state input and how that input was considered or addressed, in any proposed and final rules;
 - d) Creating a process where Governors can notify OMB of an agency's failure to consult or comply with their consultation procedures; and
 - e) Providing an opportunity for Governors or their designees to seek judicial review of an agency's failure to consult.
16. Congress and the Executive Branch could make federalism reviews more effective by:
- a) Working with Governors to develop specific criteria and consultation processes for initiating and performing these reviews.
 - b) Providing Governors with an opportunity to comment on federalism assessments before any covered federal action is submitted to OMB for approval.
17. Congress and federal agencies should take the following actions to clarify that *ex parte* policies, FACA, and FOIA are not barriers to consultation:
- a) Federal agencies should (and Congress should require them to) clearly identify and provide rationale for any perceived barriers to consultation;
 - b) Federal agencies should clarify that consultation with state officials does not qualify as *ex parte* communications and that *ex parte* communications are not prohibited at any point during an informal rulemaking process;
 - c) Congress should clarify that meetings held exclusively between federal personnel and state elected officials or their designees acting in their official capacities or in areas of shared responsibilities or administration (and not for the purpose of obtaining collective advice) do not qualify as requiring compliance with FACA procedures; and
 - d) Congress should clarify that FOIA's exemptions apply to federal records shared or exchanged with states (as if those records were shared, exchanged, or created solely within the federal government) and create a statutory exemption to FOIA disclosure for state records in instances where publication of state records provided to federal agencies would violate existing state law.

State Data and Expertise

18. Federal agencies should utilize state data, expertise, and science in the development of federal actions that affect states.
19. Congress and the Executive Branch should, subject to existing state requirements for data protection and transparency, require agencies to incorporate state and local data and expertise into their decisions. This data should include scientific, technical, economic, social, and other information on the issue the agency is trying to address.
20. States merit greater representation on all relevant committees and panels advising federal agencies on scientific, technological, social, and economic issues that inform federal regulatory processes.

C. GOVERNORS' MANAGEMENT DIRECTIVE

1. The Governors direct WGA staff to work with congressional committees of jurisdiction, the Executive Branch, and other entities, where appropriate, to achieve the objectives of this resolution.
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